

# FLOOD APPOINTMENT FORM

AGENCY NAME:		
STREET ADDRESS:		
City:	State:	Zip:
MAILING ADDRESS (if diff	ferent from above):	
E-MAIL ADDRESS:		
PHONE #:	FAX #:	
IRS TAX I.D. #:	CODE#	
CONTACT PERSON:		
	COMPANY USE – ONL	<u> </u>
COMMISSION: NEW:	RENEWAL:	ROLL-OVER:
DATE APPOINTED:	BDM NAME:	
APPROVED BY:	DATE:	



#### FLOOD INSURANCE AGREEMENT

		2002 Historian (C2 Hoxel)					
		ent is entered into this day of, 20, by and between <b>Mendota Insurance</b> 805 Dodd Road, Eagan, MN 55121 (hereinafter referred to as the "Company") and  whose principal offices are located at					
	11	(hereinafter referred to as "Broker") Who					
mutua	ally agree	es as follows:					
I.	Dutie	s of Broker					
	<b>A.</b>	To solicit and submit applications along with premiums due, for the Flood Insurance Policies authorized under the National Flood Insurance Act, subject to the published authority of the Federal Emergency Management Agency / Federal Insurance Administration (FEMA/FIA).					
	В.	To obey and comply with all State Insurance Department regulations governing the territory in which the Broker is authorized to solicit business.					
	C.	To comply with the underwriting guides, bulletins, manuals and written instruction issued by the Company in accordance with the Federal Emergency Management Agency / Federal Insurance Administration (FEMA/FIA) regarding the solicitation and submission of flood insurance applications.					
	D.	To report all claims and claims related activity promptly to the Company.					
II.	Comp	pensation					
	<b>A.</b>	The Company will, in accordance with the Schedule of Commission, compensate the Broker for all acts performed under this Agreement in the amount of percent on new policies, percent on renewals and percent on roll-overs for each annual policy issued by the Company. This Schedule of Commission may be changed at the Company's discretion, such changes to become effective upon written notice of the Broker.					
	В.	The broker shall refund promptly to the Company on business heretofore or hereafter written, compensations on canceled policies and on reductions in premiums at the same rate at which such compensation was					

#### III. Limitation of Authority

C.

originally paid.

A. The Broker and the Company shall act as independent contractors and be free within the prescribed underwriting guidelines of the Company or the Federal Emergency Management Agency / Federal Insurance Administration (FEMA/FIA) in force at the time to exercise their own judgment as to whom they will solicit, and the time, place, manner, and the amount of such solicitation. No provision of the Agreement shall be construed to create the relation of employer and employee between the Company and the Broker.

compensation shall be only to the extent necessary to liquidate such indebtedness.

Compensation due under this Agreement is to be payable only during the continuance of this Agreement and under its terms, and while the Broker is actively producing and servicing business, hereunder. Any provision of this Agreement providing for payment of compensation shall be subject to any indebtedness by the Broker to the Company arising out of Flood Insurance Policy premium transactions. The Company shall have the right to withhold payments to offset any such indebtedness; provided, however, that any withholding of

B. The Broker has no authority to extend time of payment of premiums, or to waive or extend any obligation or



condition of the Standard Flood Insurance Policy, or incur any liability on behalf of the Company.

C. The Broker shall not participate in the settlement of claims, pay claims or commit the Company to the payment of claims.

#### IV. General Agreements

- **A.** In the event of termination of this Agreement, provided the Broker has accounted for all premiums and transactions covered by this agreement, the ownership of the flood insurance business produced under this Agreement is left in the possession of the Broker.
- **B.** It is mutually agreed that if either party deviates from the provisions of Agreement, whether or not such deviation is protested by the other party or parties, such deviation shall not be held to have changed this Agreement, or the rights of the parties hereunder in any respect.
- C. This Agreement shall continue in full force and effect until terminated by either party giving to the others a written notice at least 30 days prior to the effective date of such termination; provided, however, either party may terminated this Agreement immediately with notice if the other party is guilty of any material violation of the terms hereof.
- **D.** Applications, advertising material and other material furnished by the Company are the property of the Company and will be returned to the Company upon termination of the Agreement.
- **E.** The Company shall provide direct billed renewal premium notice to the designated payor of the flood insurance policy prior to the expiration date of the policy and shall provide the Broker with either list notice or individual notice of the upcoming expiration of the policies serviced by the Broker under this Agreement.
- **F.** The Broker shall allow the Company to audit all books and records relating to insurance written pursuant to this Agreement.
- **G.** This Agreement cannot be assigned to others without written agreement from the Company.

This Agreement constitutes the full agreement between the Company and the Broker, but shall be subject to such changes as may be provided in writing from time to time.

A comt/Dwolzow

IN WITNESS WHEREOF, the parties hereto have executed this Agreement.

Agenu Diokei							
Signed this	day of	20	By	Title			
Agency				Agency Phone Number			
Agency Tax #				Agency Code Number			
		<u>M</u>	<u>lendota</u>	Insurance Company			
Signed this	day of	20	$\mathbf{R}_{\mathbf{V}}$	Title			



# Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Internal	neverlue Service						
	Name (as shown or	n your income tax return)	-				
ge 2.	Business name/dis	regarded entity name, if different from above					
Check appropriate box for federal tax classification:  Individual/sole proprietor  Check appropriate box for federal tax classification:  Individual/sole proprietor  Check appropriate box for federal tax classification:  Individual/sole proprietor  Check appropriate box for federal tax classification:  Individual/sole proprietor  Check appropriate box for federal tax classification:  Individual/sole proprietor  Corporation  Partnership  Trust/estate							
Print or type c Instructions	Limited liability company. Enter the tax classification (C=C corporation, P=partnership)  Other (see instructions)						
P		· · · · · · · · · · · · · · · · · · ·	ster's name and address	(optional)			
See <b>Spe</b>	City, state, and ZIP code						
	List account number	er(s) here (optional)					
Par	Taxpa	yer Identification Number (TIN)					
Enter	your TIN in the ap	propriate box. The TIN provided must match the name given on the "Name" line	Social security numb	er			
reside entitie	nt alien, sole prop s, it is your emplo	Iding. For individuals, this is your social security number (SSN). However, for a prietor, or disregarded entity, see the Part I instructions on page 3. For other yer identification number (EIN). If you do not have a number, see <i>How to get a</i>	-	-			
TIN on page 3.  Note If the account is in more than one name, see the chart on page 4 for guidelines on whose Employer identification number				on number			
Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.							
Part	II Certifi	cation					
Under	penalties of perju	ıry, I certify that:					
1. The	e number shown o	on this form is my correct taxpayer identification number (or I am waiting for a num	ber to be issued to me	e), and			
Ser	vice (IRS) that I ar	ackup withholding because: (a) I am exempt from backup withholding, or (b) I have m subject to backup withholding as a result of a failure to report all interest or divic backup withholding, and					
3. I ar	n a U.S. citizen or	other U.S. person (defined below).					
becau interes genera instruc	se you have failed at paid, acquisition	ons. You must cross out item 2 above if you have been notified by the IRS that you do to report all interest and dividends on your tax return. For real estate transactions on abandonment of secured property, cancellation of debt, contributions to an interest and dividends, you are not required to sign the certification, but you	, item 2 does not app dividual retirement arr	y. For mortgage angement (IRA), and			
Sign Here	Signature of U.S. person						

#### **General Instructions**

Section references are to the Internal Revenue Code unless otherwise noted.

#### **Purpose of Form**

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- 1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
  - 2. Certify that you are not subject to backup withholding, or
- 3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

**Note.** If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

**Definition of a U.S. person.** For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.



#### **American Bankers Insurance Company of Florida**

P.O. Box 4337 Scottsdale, AZ 85261 T 800.423.4403 F 714.712.3845

flood.marketing.support@assurant.com

www.abicflood.com

#### **AccessFlood Agency Account Sign up Form**

To establish your AccessFlood agent account, complete the following information. Please fax or e-mail the completed form to 714-712-3845 or <u>flood.marketing.support@assurant.com</u>. When the account setup is completed, you will receive e-mail confirmation with your user ID and password.

Please Print	Clearly.							
Agency Acco		er						
Agency Tax I	D #							
Agency Name	Э							
Agency Addre	ess							
Agency City				Agency	/ State		Agency Zip Code	
Phone Number	er				Fax Number			
Individual Us	ser Inforn	nation:						
First Name			Last Name				Email addres	SS
_ ::	dgets: (Ch ion: Month ion: Year t	to Date	at apply)  In-Force Pol Daily Transa Expiring Poli	actions			icensed agent? Yo	,
If you woul	d like you	ır signatur	re to be printed e	electronic	ally on the	e app	lication please com	plete section below.
ELECTRONIC	C/AUTH	ORIZE	SIGNATURI	E AGR	EEMEN	T_***(	Please attach a cop	y of your agent license)**
I,electronically on understand that processing. Age	any additi	ional docu	ications and end umentation relate	dorsemered to the	nts for applicatio	_	anting permission to	(agency name). I
Signature:					_ Date:			
							ers must provide se make copies.	their first and last
			e:					
Agency Repre	esentative ***	Name: _ For an imn	proved online exp	erience :	olease und	late v	Title: our browser to Inter	net
							fari 5 0***	

Explorer 8, Firefox 3.6, Chrome 6.0 or Safari 5.0

Flood Service Center Hours: 6:00am to 4:00pm (Arizona Time)

## ASSURANT FLOOD PROGRAM REQUEST TRANSMITTAL

WYO AGENT/BRANCH #: PMS AGENT/CLIENT #: AGENCY NAME: FI						
	EIN					
AGENCY OR SUB-PRODUCER INFORMATION – COMPLETE ALL SECTIONS & INCLUDE A COPY OF THE AGENCY LICENSE						
Sub Agent No: Is the Sub-producer a Corporation?  Sole Proprietor?						
is the sum produced a corporation.						
☐ Agency Legal Name (Corporation) ☐ Sole Proprietor (Include Tra	de or DBA Name)					
FEIN Sole Proprietor SS# (required	if no FEIN)					
Address, City, State & Zip Telephone # Fax #						
EMAIL ADDRESS National producer Number (NPN)						
PRODUCING AGENT INFORMATION - COMPLETE ALL SECTIONS & SUBMIT ONE FORM PER	AGENT					
<b>DISCLOSURE</b> : TO PROCESS THIS REQUEST, THE DISCLOSURE BELOW MUST BE <b>READ</b> AND <b>SIGNED</b> BY THE F	PRODUCING AGENT.					
First Name Social Security # or last 4 Date	of Birth					
Resident Address, City, State & Zip  Telepho	one #					
Email Address National producer Number (NPN)						
Is the producing agent an employee of the General Agent? Yes \( \square\) No \( \square\)						
SELECT CONTRACTED STATES ONLY & PROVIDE COPY OF LICENSE(S) – AGENT & A	GENCY					
Alabama Alaska Arizona Arkansas California Colorado	Connecticut					
<del>                                     </del>	Illinois					
<del>                                     </del>	Maine					
<del>                                     </del>	Nebraska					
	<del>-   = </del>					
□ Ohio       □ Oklahoma       □ Oregon       □ Pennsylvania       □ Rhode Island       □ South Carol         □ Tennessee       □ Texas       □ Utah       □ Virginia       □ Vermont       □ Washingto						
	II WISCONSIII					
West Virginia Wyoming Other:						
SELECT LINES OF INSURANCE & UNDERWRITING COMPANIES						
Appointing entity retains sole authority to terminate any appointments subject to applicable laws and regulations.						
Appointing entity retains sole authority to terminate any appointments subject to applicable laws and regulations						
	A A Ppt A A Ppt A A A A A A A A A A A A A A A A A A A					
ABIC Appt needed No Appt Needed						
ABIC Appt No Appt Needed Appt Needed Appt No Appt Needed No Appt Needed						
ABIC Appt needed No Appt Needed						

#### SIGNATURE AND AUTHORIZATION

American Bankers Insurance Company of Florida (ABIC), Reliable Lloyds (RY), Voyager Indemnity Insurance Company (VIIC), their subsidiaries and affiliates are herein collectively and individually referred to as "Assurant."

I understand that to process my application and to evaluate me for licensing purposes, initial state appointment or renewal of state appointments, I may be subject to an investigative consumer report ordered by Assurant as required by certain states. I further understand that the investigative report may consist of credit reports; criminal record reports; regulatory inquiries, such as state insurance, banking or securities department inquires; SEC or NASD inquiries; and interviews with and inquiries to third parties, such as former employers, financial sources and others.

I understand a social security number is required for Assurant to conduct state mandated background checks on all agents who offer products on its behalf. I acknowledge that Assurant may obtain the complete social security number from a third-party if one is not provided. I expressly consent and authorize any person, business or agency to release the complete social security number to Assurant for the purpose of Assurant using it to conduct state mandated background checks and agent appointments.

ackground checks and agent appointments.
understand that if I am a resident of Minnesota/Oklahoma (only) I may obtain a copy of the report ordered, and now ndicate my desire to do so by checking this box.   Notice to California Candidates
You have a right to obtain a copy of any consumer report or investigate consumer report obtained by Assurant by checking the box provided below. The report will be provided to you within three (3) business days after we receive the requested reports related to the matter investigated. I request to receive a free copy of this report by checking this pox.
Under section 1786.22 of the California Civil code, you may view the file maintained on you by GIS during normal pusiness hours. You may also obtain a copy of this file upon submitting proper identification and paying the costs of luplication services, by appearing at GIS in person or by mail. You may also receive a summary of the file by elephone. The agency is required to have personnel available to explain your file to you and the agency must explain to you any coded information appearing in your file. If you appear in person, a person of your choice may accompany you, provided that this person furnishes proper identification.
AUTHORIZE ASSURANT TO CONDUCT ANY OR ALL OF THESE INQUIRIES. I AUTHORIZE, WITHOU'RESERVATION, ANY PARTY OR AGENCY CONTACTED BY ASSURANT SOLUTIONS, ITS AGENTS, MEMBEING AND/OR AFFILIATES TO FURNISH THE ABOVE-MENTIONED INFORMATION. I FURTHEIN AUTHORIZE ASSURANT TO PROVIDE SUCH INVESTIGATIVE REPORT TO STATE OR OTHER GOVERNMENTA REGULATORY BODIES FOR LICENSING, APPOINTMENT OR RENEWAL PURPOSES.
hereby authorize procurement of consumer report(s). If appointed (or contracted), this authorization shall remain of le and shall serve as ongoing authorization for you to procure consumer reports at any time during my appointment or contract) period.
hereby certify that I have reviewed this Licensing Data Transmittal Form and that the information is true, correct an complete. If any information given to obtain or maintain an appointment is found to be incorrect or incomplete, it will by prounds for rejecting the application or for termination of my appointment. Appointing entity retains sole authority the erminate any appointments subject to applicable laws and regulations.
Agent's Signature (Required)
Print Name(mm/dd/yyyy)  Date

#### ASSURANT FLOOD SOLUTIONS

P O Box 4337, Scottsdale, AZ 85261-4337, Phone: 1-800-423-4403; Fax: 714-712-3845

## REQUEST TRANSMITTAL Procedures

The purpose of this document is to outline instructions for submitting the Write Your Own Request Transmittal form. Our primary goal is to assist you with your agent licensing needs for your agency and comply with Insurance Department requirements. We need to ensure that sub-producers receiving commission from the Company and/or with binding authority have appropriate appointments with the respective Insurance Departments'.

#### 1. General or Direct Agent Information:

- Please include the Seven (7) digit agency code for Assurant Flood Solutions and the PMS agency code for Private Flood products if applicable. If new agent, please leave blank.
- Please include the full legal name of the agency contracted with the Company
- Please include the Federal Employers Identification Number (FEIN)
  - If you do not have a FEIN, please indicate so under FEIN

## 2. Agency or Sub-Producer Information:

- If applicable, provide complete sub agent number
- Is the agency or sub-producer incorporated or a sole proprietor (check appropriate box)?
- If the agency or sub-producer is a corporation, select "Corporation" and provide the following:
  - 1. Full legal name of agency (as indicated on the agency license)
  - 2. Federal Employers Identification Number (FEIN)
  - 3. Complete address of the agency, telephone and facsimile numbers
  - 4. Submit copy of the agency license to Company with the request form
- If the agency or sub-producer is a sole proprietor, select "sole proprietor" and provide the following:
  - 1. Trade or DBA name used to represent agency.
  - 2. If available, Federal Employers Identification Number (FEIN) or Social Security number of the sole proprietor.
  - 3. Complete address of the agency, email, telephone and facsimile numbers.

Assurant Flood Solutions 10/15

#### ASSURANT FLOOD SOLUTIONS

P O Box 4337, Scottsdale, AZ 85261-4337, Phone: 1-800-423-4403; Fax: 714-712-3845

- 4. If applicable, submit a copy of the agency license to Company with request form
- 5. Information about the licensed principal agent/owner (include under the section titled "Producing Agent Information")

### 3. Producing Agent Information:

- This section must be completed and licenses must be submitted for <u>all</u> producing agents, including principal agent / owner w/sole proprietor status, licensed agents employed by the General Agency and licensed agents of sub agents; <u>Submit one request form and signature page per agent</u> and include the following:
  - First and Last Name of licensed agent
  - Social Security Number or last 4 of social security number of licensed agent
  - Date of Birth of licensed agent
  - Residential address, telephone, email, NPN, and facsimile numbers of licensed agent

## 4. Choose Licensed State(s) for Agency and/or Agent:

- Please select the appropriate state(s) for this transaction, based on your contract
  - Appropriate agent license(s) must be provided for each state selected

#### 5. Signature and Authorization of Disclosure:

- Purpose of Disclosure: When an Assurant Flood Solutions Request Transmittal is submitted to our Licensing Department, the Company must attest to the fact that it has verified that the agent is in good standing by performing a criminal background check.
  - The disclosure must be read, dated and signed by the producing agent

#### 6. Forward the completed form to:

Fax Number: 1-714-712-3845; or

 Mail: Assurant Flood Solutions ATTN: Marketing Support

P O Box 4337

Scottsdale, AZ 85261-4337

Assurant Flood Solutions 10/15



### American Bankers Insurance Company of Florida

PO Box 4337 Scottsdale, AZ 85261-4337 T 800.423.4403 F 714.712.3845

 $\underline{flood.marketing.support@assurant.com}$ 

www.abicflood.com

AGENT NUMBER:					
AGENT NAME:					
TAX ID/ SSN#	AGENT PHONE:				
AUTOMATIC CLEARING HOUSE (ACH) AGREEMI PLEASE PROVIDE YOUR BANK INFORMATION BELOW:  BANK INFORMATION  Bank Name:  Account No:  ABA Routing No:	I hereby authorize National Flood Services (NFS) on behalf of American Bankers Insurance Company of Florida (ABIC) to initiate debit entries from my account electronically, by paper means or by any other commercially accepted method. The authority is to remain in full force and effect until ABIC or BANK have received written notification from me of its termination. This must be done in such time and in such manner as to afford ABIC or BANK a reasonable opportunity to act on it. ABIC reserves the right to void this agreement at any time without prior notice and bill the agent at their address.  Signature:				
PLEASE ATTACH A VOIDED CHECK					
DIRECT DEPOSIT COMMISSION SET-UP PLEASE PROVIDE YOUR BANK INFORMATION BELOW:					
E-Mail Address	I hereby authorize the NFS on behalf of ABIC to initiate direct deposit commission entries to my account electronically, by paper				
BANK INFORMATION	means or by any other commercially accepted method. This authority is to remain in full force and effect until ABIC or BANK have received written notification from me of its termination. This				
Bank Name:	must be done in such time and in such manner as to afford ABIC or BANK a reasonable opportunity to act on it. ABIC reserves the right to void this agreement at any time without prior notice.				
Account No:	Signature:				
ABA Routing No:	Date:				



## Flood Service Center / Policy Services

Website: <a href="https://assurant.floodpro.net/Login.aspx">https://assurant.floodpro.net/Login.aspx</a>

Phone: (800) 423-4403 Fax: (714) 712-3842

Service Hours: 6:00 AM to 4:00 PM MT

## **Emails**

General Underwriting: <a href="mailto:flood.service.center@assurant.com">flood.service.center@assurant.com</a>

Submit-for-rate: <a href="mailto:submitforrate@assurant.com">submit-for-rate: submitforrate@assurant.com</a>
Rollovers: flood.rollovers@assurant.com

Agent / Broker of Record: agencybrokerchange@assurant.com

## **Mendota Flood Hotline**

Office: (800) 226-5309

Listen for the phone prompts for "Flood" and "Spanish Flood"

Email: flood@mendota-insurance.com

# **Mailing Address**

# **American Bankers Insurance Company of Florida**

Flood Service Center PO Box 4337 Scottsdale, AZ 85261-4337

# **Claims Services**

Phone: (800) 423-4403 Option 3

Fax: (866) 892-3066

Email: <a href="myfloodclaim@assurant.com">myfloodclaim@assurant.com</a> Service Hours: 8:00 AM to 6:00 PM ET